

## **DOUBLE SEVEN WEALTH GROUP, LLC**

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This brochure provides information about the qualifications and business practices of Double Seven Wealth Group, LLC. If you have any questions about the contents of this brochure, please contact us at 806-680-6399 or [brad@77wealthgroup.com](mailto:brad@77wealthgroup.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Registration as an investment adviser does not imply a certain level of skill or training.

**Additional information about Double Seven Wealth Group, LLC (CRD #:306038). also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ITEM 2: MATERIAL CHANGES

There have been the following material changes since the firm's last annual updating amendment filed on February 26, 2020:

Item 1: Cover Page

The firm has a new address and phone number.

Item 4: Advisory Business

The firm has added Financial Consulting Services.

Item 5: Fees and Compensation

The firm has added Financial Consulting Services which are billed hourly in arrears.

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## ITEM 4: ADVISORY BUSINESS

### A. BUSINESS AND OWNER

Double Seven Wealth Group LLC, (“Double Seven Wealth Group”) was founded in September 2019 and became registered as an investment adviser with Texas on November 1, 2019. Our firm is organized as a limited liability company under the laws of the State of Texas, and we are based in Amarillo, Texas. Bradley Dean Clark (“Brad Clark”) is the sole owner of our firm.

### B. ADVISORY SERVICES OFFERED BASED ON CLIENT NEEDS

#### **Investment Management Services**

Double Seven Wealth Group’s primary focus is managing individually tailored investment portfolios on a discretionary basis. Our firm provides advice to a client regarding the investment of client funds based on the individual needs of the client. Advisory services are provided through consultation with the client where an individual investment policy and allocation model are established which are based on the client’s individual objectives, time horizons, risk tolerance, and liquidity needs. We also consider a client’s prior investment experience, family dynamics, and personal desires for their investments when making investment recommendations.

In creating investment portfolios, the firm may utilize but is not limited to, interests in mutual funds, closed-end funds, exchange-traded funds (ETFs), interests in real estate investment trusts (REITs), common or preferred stock, master limited partnership interests (MLPs), business development companies (BDCs), convertible stocks or bonds, options, warrants, rights, certificates of deposit, corporate bonds, municipal bonds, government bonds, notes, bills, annuities and other insurance products.

All advisory services are provided under the terms of a written investment advisory agreement executed by Double Seven Wealth Group and the client.

#### **Financial Consulting Services**

Double Seven Wealth Group offers financial consulting services that may include, but is not limited to, advising clients on specific issues or topics related to their general finances, estate planning, retirement planning, life insurance, and other financial matters.

All consulting and advisory services are provided under the terms of a written financial consulting services agreement executed by Double Seven Wealth Group and the client.

#### **Educational Seminars and Workshops**

From time to time, Double Seven Wealth Group may offer complimentary educational seminars and workshops to clients and prospective clients.

### C. RESTRICTIONS

You may request that we refrain from investing in particular securities or certain types of securities. You must provide these restrictions to our firm in writing.

### D. WRAP FEE PROGRAMS

Double Seven Wealth Group does not sponsor or participate in wrap fee portfolio management programs.

### E. ASSETS UNDER MANAGEMENT

As of December 31, 2023, Double Seven Wealth Group managed \$8,900,612 in discretionary assets. We have no assets under management on a non-discretionary basis.

## ITEM 5: FEES AND COMPENSATION

### A. FEE DESCRIPTION AND SCHEDULE

#### Investment Management Services

Our fee for investment management services is based on the assets under management and is set forth below:

| <u>Assets Under Management</u> | <u>Annual Fee</u> |
|--------------------------------|-------------------|
| \$250,000 - \$1,000,000        | 1.10%             |
| \$1,000,001 - \$5,000,000      | 0.90%             |
| \$5,000,001 - \$10,000,000     | 0.70%             |
| Above \$10,000,001             | 0.50%             |

Fees may be negotiable in certain cases and are pro-rated and paid in arrears on a monthly basis according to the value of your account on the last business day of the month. There will be no increase in the annual fee without agreement from the client by signing a new investment advisory agreement or amendment to their current investment advisory agreement.

We will combine the account values of family members living in the same household to determine the applicable advisory fee (unless instructed otherwise by the client). Combining account values may increase the total assets under management, which may result in your paying a reduced advisory fee based on the available breakpoints in our tiered fee schedule stated above.

#### Financial Consulting Services

We charge \$200 per hour for project based Financial Consulting Services. These fees are billed monthly in arrears via direct invoice. The client will be provided with an estimate of the total fee prior to the start of the engagement. Fees are determined on a case-by-case basis based on the complexity of the situation and the needs of the client. Fees may be negotiable in certain cases.

### B. FEE DEDUCTION

Unless we agree to bill you directly, we will deduct our fee from your account through the qualified custodian holding your funds and securities. We will deduct our advisory fee only when the following requirements are met:

- You provide our firm with written authorization permitting the fees to be paid directly from your account held by the qualified custodian.
- The qualified custodian agrees to send you a statement, at least quarterly, indicating all amounts dispersed from your account including the amount of the advisory fee paid directly to our firm.

### C. THIRD PARTY FEES AND EXPENSES

Our fees are exclusive of other related costs and expenses that may be incurred by the client. Clients may incur certain charges imposed by custodians, brokers, and other third parties such as transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. Mutual fund and exchange traded funds also charge internal management fees, which are disclosed in a fund's prospectus. Such charges and fees are in addition to our fee, and we shall not receive any portion of these commissions, fees, and costs.

Item 12 further describes the factors that we consider in selecting or recommending a custodian for client's transactions and determining the reasonableness of their compensation (e.g., commissions).

### D. ADVANCE PAYMENT OF FEES AND TERMINATION

Double Seven Wealth Group does not require advance payment of fees.

Accounts initiated or terminated during any given month will be charged a pro-rata fee based on the amount of time remaining in the billing period. An account may be terminated with written notice. Since fees are paid in arrears, no rebate will be needed upon termination of the account.

## E. COMPENSATION FOR THE SALE OF SECURITIES OR OTHER INVESTMENT PRODUCTS

Brad Clark holds the General Lines Agent (Life, Accident, Health & HMO) Insurance License with the State of Texas. He may advise clients on insurance related products and may offer insurance products for which he will receive commissions from the insurance companies through an independent insurance agency.

Investment adviser representatives who offer fee based and commission-based products are subject to a conflict of interest. It is a conflict of interest because it provides an incentive to recommend products based on the commissions received. This conflict is mitigated by disclosing the relationship to our clients, by conducting our operations in accordance with our fiduciary duty and by following our firm's code of ethics.

## ITEM 6: PERFORMANCE-BASED FEES AND SIDE-BY-SIDE MANAGEMENT

Double Seven Wealth Group and its officers, directors and employees do not accept performance-based compensation and therefore do not engage in side-by-side management.

## ITEM 7: TYPES OF *CLIENTS*

We primarily provide investment management services to individuals, high net-worth individuals, and small businesses.

Our minimum account size requirement is generally \$50,000 but may be negotiable in certain situations.

## ITEM 8: METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

### A. ANALYSIS AND INVESTMENT STRATEGY

We may use one or more of the following methods of analysis or investment strategies when providing investment advice to you:

Fundamental Analysis - Involves analyzing individual companies and their associated industry groups. We use available data such as the company's financial statements, details regarding the company's product line, the experience, expertise, and tenure of the company's management, and the outlook for the company's industry. The resulting data is used to measure the true value of the company's stock compared to the current market value.

Risks: Information obtained by fundamental analysis is publicly available and can be incorrect or outdated. This information may not always provide an accurate estimate of earnings, which may be the basis for a stock's true value, possibly resulting in an underperforming asset.

Technical Analysis - Involves using chart patterns, momentum, volume, relative strength and other pieces of data in an effort to select sectors that may outperform market indices. However, there is no assurance of accurate forecasts or that trends will develop in the markets followed. In the event a major technical trend develops, outside factors such as government intervention or regulatory controls could potentially shorten the current trend.

Risks: In the event a market lacks direction or a trend does not develop, a technical analysis method may fail to identify factors requiring action. In addition, technical methods may overreact to minor price movements, establishing positions contrary to overall price trends, which may result in losses. Finally, a technical trading method may underperform other trading methods when fundamental factors dominate price moves within a given market.

Modern Portfolio Theory - A theory of investment analysis which attempts to maximize expected portfolio return for a given amount of portfolio risk, or equivalently minimize risk for a given level of expected return, by carefully diversifying the proportions of various assets.

Risk: This theory assumes that expected returns will fall within an assumed range based on the assigned risk to that investment. In the event the expected returns or risks vary significantly from what is assumed, losses can occur. Additionally, the risk associated with each specific investment type can sometime be difficult to isolate because risk is assigned to the overall portfolio, making it difficult to assess individual investments.

Long-Term Purchases - Securities purchased with the expectation that the value of those securities will grow over a relatively long period of time, generally greater than one year.

Risk: Using a long-term purchase strategy generally assumes the financial markets will experience price increases in the long-term which in reality may not be the case. There is also the risk that the segment of the market you are invested in will go down over

time even if the broader financial markets advance. Purchasing investments long-term may create an opportunity cost – committing assets to a long-term strategy that might be better utilized in the short-term in other types of investments.

Short-Term Purchases - Securities purchased with the expectation that they will be sold within a relatively short period of time, generally less than one year, to take advantage of the securities' short-term price fluctuations.

Risk: Using a short-term purchase strategy generally assumes that we can predict how financial markets will perform in the short-term which may be very difficult or impossible task. There are many factors that can affect financial market performance in the short-term (such as short-term interest rate changes, cyclical earnings announcements, etc.); but similar effects may have a smaller impact over longer periods of times, making long-term purchasing more effective.

## B. RISKS BASED ON ANALYSIS, STRATEGY, OR SECURITY TYPE

All investing strategies we offer involve risk and can result in a loss of your original investment. There are numerous inherent risks involved in investment analysis and strategy. Some major risks that can impact investment outcomes are described below; however, this is not meant to be an exhaustive list of possible risks associated with investing:

- Market Risk: Involves the possibility that an investment's current market value will fall because of a broader market decline, reducing the value of the investment regardless of the operational success of the issuer's business operating strategies or its financial condition.
- Strategy Risk: An investment adviser's strategies and/or investment techniques may not work as intended.
- Small and Medium Cap Company Risk: Securities of companies with small and medium market capitalizations are often more volatile and less liquid than investments in larger cap companies. Small and medium cap companies may face a greater risk of business failure, which could increase the volatility of a client's portfolio.
- Interest Rate Risk: Bond (fixed income) prices generally fall when interest rates rise, and the value may fall below par value or the original principal investment. The opposite is also generally true: bond prices generally rise when interest rates fall. In general, fixed income securities with longer maturities are more sensitive to these price changes. Generally, many other investments are sensitive to the level and direction of interest rates.
- Legal or Legislative Risk: Legislative changes or Court rulings may impact the value of investments, or the securities' claim on the issuer's assets and finances.
- Inflation Risk: Inflation may erode the buying-power of your investment portfolio, even if the dollar value of your investments remains the same.

Double Seven Wealth Group primarily recommends mutual funds, Exchange Traded Funds ("ETFs"), listed equity securities and bonds as part of its investment management services. We may advise you on any type of investment, including alternative investments, that we deem appropriate since each client's needs and risk tolerance varies. A description of some of the types of securities we may recommend to you and some inherent risks are provided below:

- Common stocks can vary in price quite dramatically, and in the event of an issuer's bankruptcy or restructuring, a common stock has to possibility to lose all of its value. A slower-growth or recessionary economic environment could have an adverse effect on the general stock market including the price of common stocks.
- Corporate Bonds are debt securities the issuer uses to borrow money. Generally, issuers pay investors periodic interest and repay the amount borrowed either periodically during the life of the security and/or at maturity. Alternatively, investors can purchase other debt securities, such as zero-coupon bonds, which do not pay current interest, but rather are priced at a discount from their face values and the asset's value accretes over time to face value at maturity. The market prices of debt securities fluctuate depending on such factors as interest rates, credit quality, and maturity. In general, market prices of debt securities decline when interest rates rise and increase when interest rates fall. The longer the time to a bond's maturity, the greater its interest rate risk.
- Municipal Bonds are debt obligations generally issued to obtain funds for various public purposes, including the construction and financing of public facilities. Municipal bonds generally pay a lower rate of return than most other types of bonds. However, because of a municipal bond's tax-favored status, investors should compare the relative tax-equivalent yield of a municipal bond to the after-tax return of other bonds, while also considering the investor's tax bracket. Risks of municipal bonds include, but are not limited to, interest rate risk, reinvestment risk, inflation risk, market risk, call or redemption risk, credit risk, and liquidity/valuation risk.
- Mutual funds and ETFs are professionally managed collective investment systems that pool money from many investors and invest in stocks, bonds, short-term money market instruments, other mutual funds, other securities,

or any combination thereof. The fund will have a manager that trades the fund's investments in accordance with the fund's investment objective. While mutual funds and ETFs generally provide diversification, risks can be significantly increased if the fund is concentrated in a particular sector of the market, uses leverage (i.e., borrows money) to a significant degree, or concentrates in a particular type of security (i.e., small cap equities) rather than balancing the fund with different types of securities. The returns on mutual funds and ETFs can be reduced by the costs to manage the funds. Additionally, Mutual Funds and ETFs may not track underlying benchmarks as expected.

## ITEM 9: DISCIPLINARY INFORMATION

We are required to disclose the facts of any legal or disciplinary events that are material to a client's evaluation of our advisory business or the integrity of our management. Double Seven Wealth Group does not have any required disclosures under this item.

## ITEM 10: OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

### A. RELATIONSHIP WITH A FIRM REGULATED BY FINRA

Double Seven Wealth Group has no employees who are registered, or have an application pending to register, as a broker-dealer or a registered representative of a broker-dealer.

### B. RELATIONSHIP WITH A FIRM REGULATED BY THE CFTC

Double Seven Wealth Group has no employees who are registered, or have an application pending to register, as a futures commission merchant, commodity pool operator or a commodity trading advisor.

### C. OTHER RELATIONSHIP - CONFLICTS OF INTEREST

Brad Clark holds the General Lines Agent (Life, Accident, Health & HMO) Insurance License with the State of Texas. He may advise clients on insurance related products and may offer insurance products for which he will receive commissions from the insurance companies through an independent insurance agency.

Investment adviser representatives who offer fee based and commission-based products are subject to a conflict of interest. It is a conflict of interest because it provides an incentive to recommend products based on the commissions received. This conflict is mitigated by disclosing the relationship to our clients, by conducting our operations in accordance with our fiduciary duty and by following our firm's code of ethics.

### D. REFERRAL FEES FROM OTHER INVESTMENT ADVISERS

Double Seven Wealth Group does not recommend or select other investment advisers.

## ITEM 11: CODE OF ETHICS, PARTICIPATION OR INTEREST IN *CLIENT* TRANSACTIONS AND PERSONAL TRADING

### A. CODE OF ETHICS

We strive to comply with applicable laws and regulations governing our practices. Therefore, our Code of Ethics includes guidelines for professional standards of conduct for persons associated with our firm. Our goal is to protect your interests and to demonstrate our commitment to our fiduciary duties of honesty, good faith, and fair dealing with you. All persons associated with our firm are expected to adhere strictly to these guidelines. Persons associated with our firm are also required to report any violations of our Code of Ethics. Additionally, we maintain and enforce written policies reasonably designed to prevent the misuse or dissemination of material, non-public information about you or your account holdings by persons associated with our firm.

We periodically review and amend our Code of Ethics to ensure that it remains current, and we require all firm access persons to attest to their understanding of and adherence to the Code of Ethics at least annually. Our firm will provide a copy of its Code of Ethics to any client or prospective client upon request.



## B. MATERIAL FINANCIAL INTEREST IN SECURITIES

Neither our firm, its associates or any related person is authorized to recommend to a client, or effect a transaction for a client, involving any security in which our firm or a related person has a material financial interest, such as in the capacity as an underwriter, adviser to the issuer, etc.

## C. SAME SECURITIES

Access persons are permitted to invest their personal trading accounts, subject to certain restrictions, and may in certain circumstances invest in the same or related securities as the clients of the firm, including in some instances doing so at or about the same time as a client transaction is entered.

Double Seven Wealth Group manages the conflicts of interest inherent in employee personal trading by enforcement of its Code of Ethics, which contains pre-clearance and reporting guidelines. Specifically, the Code requires access persons to obtain prior written approval from the Chief Compliance Officer before engaging in certain transactions in their personal accounts. The Chief Compliance Officer may only approve the transaction if he concludes that the transaction would comply with the provisions of the Code and is not likely to have any adverse economic impact on clients.

## D. CONCURRENT SECURITIES TRANSACTIONS

Please refer to Items 11.A, 11.B, and 11.C.

# ITEM 12: BROKERAGE PRACTICES

## A. SELECTING AND RECOMMENDING BROKER-DEALERS

We recommend that our clients use third party registered broker-dealers, members FINRA/SIPC, as qualified custodians (“custodians”). Double Seven Wealth Group is independently owned and operated and is not affiliated with our custodians. The custodians will hold client assets in a brokerage account. While we recommend that you use certain firms as your custodian, you will decide whether to do so and will open your account with them by entering into an account agreement directly with them. We do not open the account for you, although we may assist you in doing so.

Generally, we will execute transactions through your custodian. However, in accordance with our duty of best execution, we may use other brokers to execute trades for your account as described below.

We seek to recommend custodians/brokers that will hold your assets and execute transactions on terms that are, overall, most advantageous when compared with other available providers and their services. We consider a wide range of factors.

For our clients’ accounts that the custodians maintain, the custodians are compensated by charging commissions or other fees on trades that they execute or that settle into your custodial account. The commission rates applicable to our client accounts were negotiated based the total asset value of client accounts held with the custodian.

In addition to commissions, the custodians charge a flat dollar amount as a “prime broker” or “trade away” fee for each trade that is executed by a different broker-dealer but where the securities bought or the funds from the securities sold are deposited (settled) into your custodial account. These fees are in addition to the commissions or other compensation you pay the executing broker-dealer.

## 1. RESEARCH AND SOFT DOLLAR BENEFITS

The term “soft dollars” refers generally to the practice by investment advisers of paying for research and brokerage services using brokerage commissions generated by the execution of trades for their clients’ accounts. Double Seven Wealth Group has no formal soft dollar relationships with the custodians/brokers that we recommend.

However, we do receive research and other products or services from the custodians/brokers that we recommend. Our custodians/brokers provide us with access to their institutional trading and custody services, which are typically not available to retail investors. These services generally are available to independent investment advisors at no charge to them so long as the independent investment advisors maintain a minimum amount of assets with the custodian.

Services that we may receive include, but are not necessarily limited to: receipt of duplicate client confirmations and bundled duplicate statements; access to a trading desk; access to block trading which provides the ability to aggregate securities transactions and allocate the appropriate shares to client accounts; the ability to have investment advisory fees deducted directly from client accounts; access to an electronic communications network for client order entry and account information; and access to mutual funds that generally require significantly higher minimum initial investments or are generally only available to institutional investors.

Our custodians/brokers also make available to us other products and services that benefit our firm but may not benefit clients' accounts. Some of these other products and services assist us in managing and administering clients' accounts. These include software and other technology that provide access to client account data (such as trade confirmation and account statements); provide research, pricing information and other market data; facilitate payment of the firm's fees from its clients' accounts; and assist with back office functions; record keeping and client reporting. Many of these services generally may be used to service all or a substantial number of our accounts, including accounts not maintained at a recommended custodian. We also receive other services intended to help our firm manage and further develop our business enterprise. These services may include consulting, publications and conferences on practice management, information technology, business succession, regulatory compliance, and marketing.

Our recommendation that you maintain your assets in accounts at our custodians/brokers may be based in part on the benefit to us in the availability of some of the foregoing products and services and not solely on the nature, cost or quality of custody and brokerage services provided. This creates a conflict of interest.

## 2. BROKERAGE FOR CLIENT REFERRALS

We receive no referrals from a broker-dealer or third party in exchange for using that broker-dealer or third party.

## 3. DIRECTED BROKERAGE

We do recommend a specific custodian for clients to use; however, clients may custody their assets at a custodian of their choice. By allowing clients to choose a specific custodian, we may be unable to achieve most favorable execution of client transaction and this may cost clients' money over using a lower-cost custodian.

## B. AGGREGATING ORDERS

Generally, we combine multiple orders for shares of the same securities for advisory accounts we manage (this practice is commonly referred to as "block trading"). We will then distribute a portion of the shares or proceeds to participating accounts in a fair and equitable manner. The distribution is typically proportionate to the size of the account, but it is not based on account performance or the amount or structure of management fees. Each participating account pays or receives an average price per share for all transactions. Accounts owned by our firm or persons associated with our firm may participate in block trading with your accounts; however, they will not be given preferential treatment.

# ITEM 13: REVIEW OF ACCOUNTS

## A. PERIODIC ACCOUNT REVIEW

### Investment Management Services

Brad Clark, Managing Member, will monitor your accounts on an ongoing basis and will conduct account reviews at least quarterly, to ensure the advisory services provided to you are consistent with your investment needs and objectives.

## B. NON-PERIODIC ACCOUNT REVIEW

### Investment Management Services

Additional investment reviews may be conducted based on various circumstances, including, but not limited to contributions and withdrawals; year-end tax planning; market volatility; security specific events; and/or, changes in your risk/return objectives or other personal circumstances.

## C. REPORTING

### Investment Management Services

Clients will receive trade confirmations with each transaction in their accounts and monthly or quarterly statements from the custodian showing all activity in their accounts.

## ITEM 14: CLIENT REFERRALS AND OTHER COMPENSATION

### A. ECONOMIC BENEFIT

We do not receive any economic benefit, directly or indirectly from any third party for advice rendered to our clients.

### B. REFERRALS

We do not directly or indirectly compensate any person who is not advisory personnel for client referrals.

## ITEM 15: CUSTODY

We are deemed to have custody of a client's cash and securities to the extent that we have the authority to deduct advisory fees directly from clients' accounts. We do not intend to have physical possession of the cash or securities in client accounts at any time. In general, all cash and securities owned by clients will be held by one or more qualified custodians that are selected by such clients pursuant to separate custody or other agreements.

Clients will receive account statements directly from the account's custodian at least quarterly, but more likely monthly. Statements will be sent to the email or postal mailing address that the client provided to the custodian.

## ITEM 16: INVESTMENT DISCRETION

Double Seven Wealth Group manages accounts on a discretionary basis. When discretion is given in writing we will have authority over the types of financial instruments to be bought or sold, as well as the amount to be bought or sold on behalf of our clients without consulting them about the transaction (subject to any restrictions and limitations set forth in writing in the account documents). We will also have the authority to determine the broker-dealer or other counterparty to be used for transactions and the negotiation of commission rates and other consideration to be paid by clients. Discretion is to be exercised in a manner consistent with client's financial profile and investment objectives for the account.

## ITEM 17: VOTING CLIENT SECURITIES

We will not vote proxies on behalf of your investment accounts. At your request, we may offer you advice regarding corporate actions and the exercise of your proxy voting rights. If you own shares of applicable securities, you are responsible for exercising your right to vote as a shareholder.

In most cases, you will receive proxy materials directly from the account custodian. However, in the event we were to receive any written or electronic proxy materials, we would forward them directly to you by mail, unless you have authorized our firm to contact you by electronic mail, in which case, we would forward any electronic solicitations to vote proxies.

## ITEM 18: FINANCIAL INFORMATION

Our firm does not have any financial condition or impairment that would prevent us from meeting our contractual commitments to you. We do not require or solicit prepayment of more than \$500 in fees per client six months in advance.

Our firm has never filed a bankruptcy petition.

## ITEM 19: REQUIREMENTS FOR STATE-REGISTERED ADVISERS

### A. OFFICERS AND MANAGEMENT PERSON(S)

Bradley Dean Clark is the sole owner. His business and educational background are provided in the Form ADV Part 2B (Brochure Supplement) which follows.

### B. OUTSIDE BUSINESS ACTIVITIES

Brad Clark is not involved in any outside business activities.

### C. PERFORMANCE-BASED FEES

Double Seven Wealth Group is not compensated by performance-based fees.

### D. DISCIPLINARY DISCLOSURE

No management person Double Seven Wealth Group has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

### E. RELATIONSHIP WITH ISSUER

Neither Double Seven Wealth Group, nor Brad Clark, have any relationship or arrangement with issuers of securities.

## **DOUBLE SEVEN WEALTH GROUP, LLC**

**BRADLEY DEAN CLARK**  
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March 26, 2024

The brochure supplement provides information about Bradley Dean Clark (CRD# 3167623) that supplements the Double Seven Wealth Group, LLC (CRD #: 306038) brochure. You should have received a copy of that brochure. Please contact Brad Clark if you did not receive Double Seven Wealth Group, LLC's brochure or if you have any questions about the contents of this supplement.

**Additional information about Bradley Dean Clark (CRD#: 3167623) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Bradley Dean Clark

Year of Birth:

1971

Formal Education:

Hardin-Simmons University, BBS Psychology & Business, 1993

Business Experience:

Sep 2019 – Present, Double Seven Wealth Group, LLC - Owner – Financial Advisor

Dec 2017 – Oct 2019, FirstBank Southwest – Business Development Officer

Nov 2016 – Dec 2017, Wells Fargo Clearing Services, LLC – Registered Rep

Oct 2014 – Nov 2016, Wells Fargo Advisors, LLC – Registered Rep

Mar 2012 – Aug 2014, Bank of America, N.A. – Financial Advisor

Dec 2011 – Mar 2012, Merrill Lynch – Financial Advisor

Mar 2005 – Dec 2011, Hastings Entertainment – Treasury Manager

## ITEM 3: DISCIPLINARY INFORMATION

No management person Double Seven Wealth Group has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

## ITEM 4: OTHER BUSINESS ACTIVITIES

Brad Clark holds the General Lines Agent (Life, Accident, Health & HMO) Insurance License with the State of Texas. He may advise clients on insurance related products and may offer insurance products for which he will receive commissions from the insurance companies through an independent insurance agency.

Investment adviser representatives who offer fee based and commission-based products are subject to a conflict of interest. It is a conflict of interest because it provides an incentive to recommend products based on the commissions received. This conflict is mitigated by disclosing the relationship to our clients, by conducting our operations in accordance with our fiduciary duty and by following our firm's code of ethics.

## ITEM 5: ADDITIONAL COMPENSATION

Brad Clark does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Double Seven Wealth Group.

## ITEM 6: SUPERVISION

Brad Clark, as Managing Member and Chief Compliance Officer of Double Seven Wealth Group, is responsible for supervision. He may be contacted at the phone number on the cover of this brochure supplement.

## ITEM 7: REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Brad Clark has not been involved in an arbitration, civil proceeding, self-regulatory proceeding, administrative proceeding, or a bankruptcy petition.